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PORM PTO 1390 (REV 5-93) ATTORNEY DOCKET NUMBER US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 2002 0335A TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371 10/070.686 International Application No. **International Filing Date Priority Date Claimed** PCT/IT01/00433 August 11, 2000 August 6, 2001

#### Title of Invention

PROTECTIVE SHEATH FOR FLEXIBLE TUBES

### Applicant(s) For DO/EO/US

Giacomo Ezio MAZZER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [] This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.
- 2. [X] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.
- 3. [] This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
- 4. [] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [] A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [] has been transmitted by the International Bureau.
  - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)

- 6. A translation of the International Application into English (35 U.S.C. §371(c)(2)).
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
  - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [] have been transmitted by the International Bureau.
  - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19.
- 9. [] An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

#### Items 11. to 14. below concern other document(s) or information included:

- 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [] A FIRST preliminary amendment.
  - [] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [X] Other items or information: (a) Request for Refund; and (b) Published International Application (WO 02/14589)

U.S. APPLICATION NO. (if boom, as 37 GPR 1.5) 10/070,686		INTERNATIONAL APPLICATION NO. PCT/IT01/00433		ATTORNEY'S DOCKET NO. 2002_0335A	
15. [] The following fees are submitted				CALCULATIONS	PTO USE ONLY
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):  Reither international preliminary examination fee nor international search fee paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 International Search Report has been prepared by the EPO or JPO \$890.00 International preliminary examination fee not paid to USPTO but international search paid to USPTO \$740.00 International preliminary examination fee paid to USPTO but claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				s	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	-20 =		X \$18.00	\$	
Independent Claims	- 3 =	·	X \$84.00	\$	
Multiple dependent claim(s) (if applicable) + \$280.00				\$	
TOTAL OF ABOVE CALCULATIONS =				\$	!
[X] Small Entity Status is hereby asserted. Above fees are reduced by 1/2.				\$	
SUBTOTAL =				\$	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$	
TOTAL FEES ENCLOSED =				\$	
				Amount to be refunded	\$445.00
				Amount to be charged	\$
a. [] A check in the amount of \$ to cover the above fees is enclosed. A duplicate copy of this form is enclosed.					
b. [] Please charge my Deposit Account No. 23-0975 in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.					
c. [] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
19. CORRESPONDENCE ADDRESS					
000513 PATENT TRADEMARK OFFICE			Michael R. Davis', Registration No. 25,134  WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone:(202) 721-8200 Fax:(202) 721-8250  June 7, 2002		
	- COMMISSIONE	H IS AUTHORIZED	Ju	110 /, 2002	

TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

[CHECK NO.\_\_\_\_

[2002\_0335A]

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Giacomo Ezio MAZZER

Attn: Accounting Division

**Refund Branch** 

Serial No. 10/070,686

Filed March 8, 2002

Attorney Docket No. 2002 0335A

PROTECTIVE SHEATH FOR **FLEXIBLE TUBES** [Corresponding to PCT/IT01/00433 Filed August 6, 2001]

# **REQUEST FOR REFUND**

Assistant Commissioner for Patents, Washington, DC 20231

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT

ACCOUNT NO. 23-0975

Sir:

As indicated on page 2 of the Transmittal Letter submitted concurrently herewith, Applicant asserts small entity status for this application. Accordingly, since a filing fee of \$890.00 was filed with the application, on the basis of a large entity, Applicant hereby requests a refund of \$445.00, which can be credited to Applicant's attorney's Deposit Account (23-0975).

Respectfully submitted,

Giacomo Ezio MAZZER

Registration No. 25,134

Attorney for Applicant

MRD/aeh WENDEROTH, LIND & PONACK, L.L.P. 2033 K Street, N.W., Suite 800 Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 June 7, 2002